

Bilinear Lithography Hotspot Detection

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Outline

1 Introduction

- Device Feature Size Continues to Shrink
- Lithography Hotspot Detection
- Conventional Methods on Hotspot Detection
- Rethinking

2 Feature

- Conventional Feature Extraction
- Rethinking Feature Selection
- Matrix based Concentric Circle Sampling

3 Model

- Learning Model Background
- Hotspot-oriented Model

4 Solver&Analysis

- Properties of the Objective Function
- Numerical Optimization
- Theoretical Analysis

5 Results

- Experimental Results

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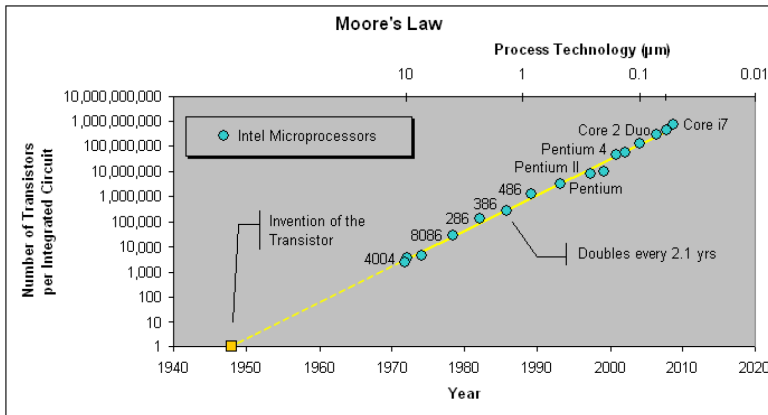
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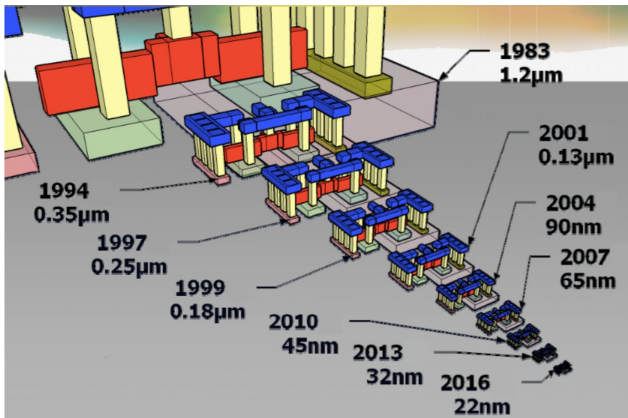
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Moore's Law to Extreme Scaling

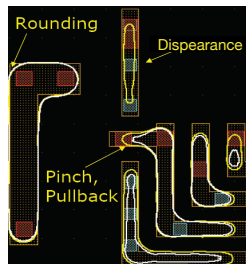
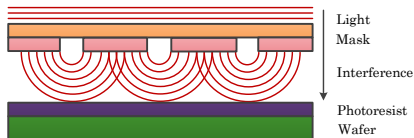


Shrinking Device Feature Size

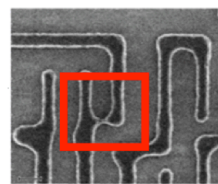
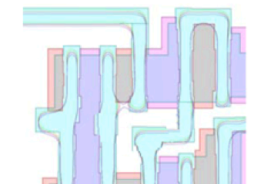
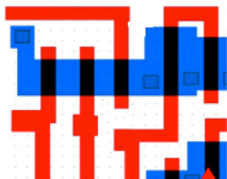


Lithographic Mechanism

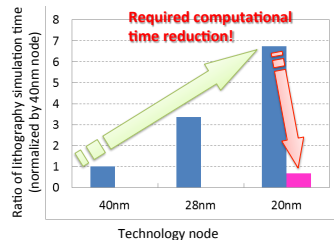
- Light pass through photo masks (**mask scale** \ll **light wavelength**);
- Light **diffraction** and light **interference** will happen;
- May cause performance degradation, or even **yield loss**.



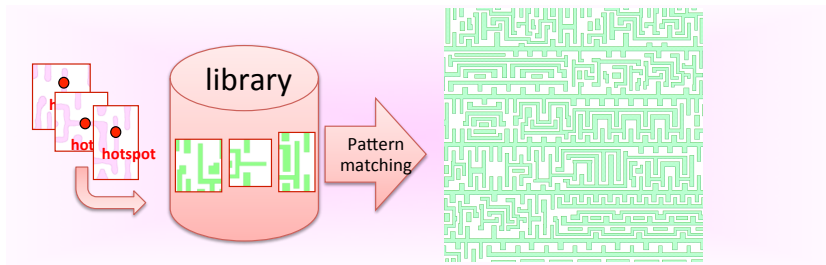
Motivation



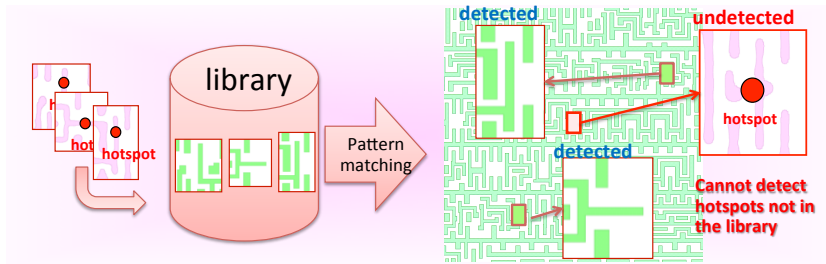
- What you design \neq what you get;
- DFM: MPL, OPC, SRAF;
- Still hotspot: low fidelity patterns;
- Simulations: extremely time intensive.



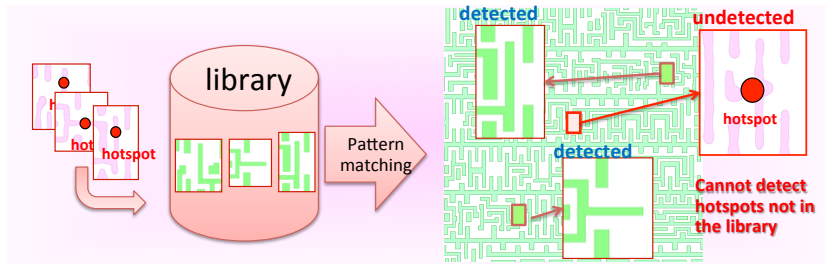
Pattern Matching based Hotspot Detection



Pattern Matching based Hotspot Detection

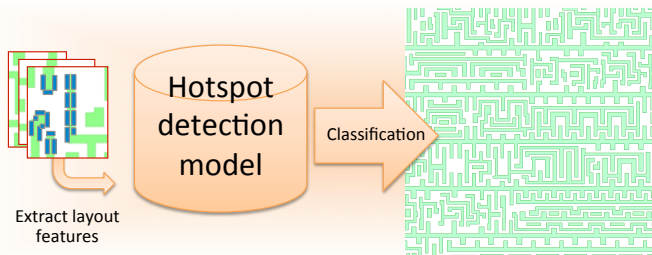


Pattern Matching based Hotspot Detection

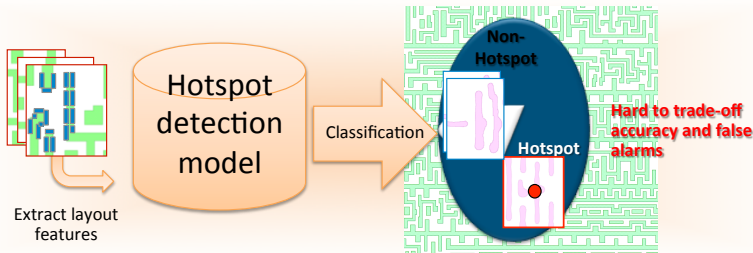


- Fast and reasonably accurate;
- Two-stage filtering, fuzzy pattern matching;
- [Yu+, ICCAD'14][Wen+, TCAD'14];
- **Hard** to detect unseen pattern.

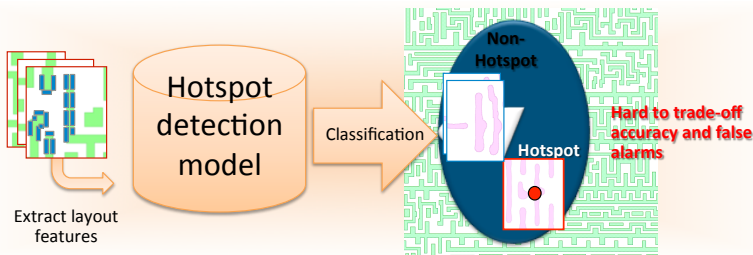
Machine Learning based Hotspot Detection



Machine Learning based Hotspot Detection



Machine Learning based Hotspot Detection



- Can predict **new** patterns, and are more **flexible**;
- Support vector machine, boosting, deep neural network...
- [Ding+, ASPDAC'12][Yu+, TCAD'15][Zhang+, ICCAD'16][Matsunawa+, SPIE'16];
- **Hard** to balance accuracy and false-alarm.

Rethinking Conventional Methods

- **Conventional:** **vector** based feature and learning model;
- **Time consuming** steps: 1) feature extraction, 2) feature selection;
- **Destroying** the hidden structural correlations in the layout patterns.

Rethinking Conventional Methods

- ~~Conventional~~: ~~vector~~ based feature;
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Matrix based Concentric Sampling (MCCS)

- 1) Matrix Based: **preserve** the hidden structural correlations;
- 2) No feature selection: enable parallel computation;
- 3) Very simple feature: fast to extract.

Bilinear Lithography Hotspot Detector

- 1) Matrix based: **capture** the hidden structural correlations;
- 2) Low-complexity model: avoid over-fitting;
- 3) Fast to train.



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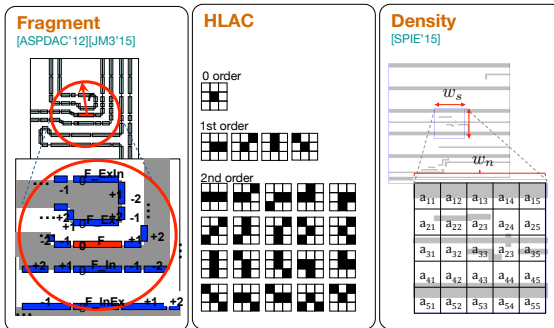
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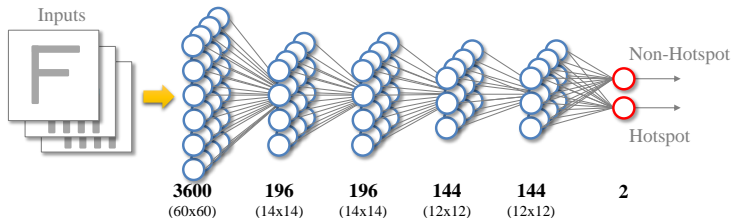
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Geometry based Feature



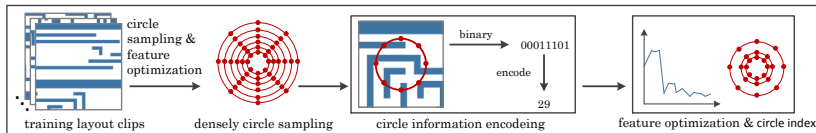
- **Hard** to be **adaptive** to different layout designs
- **Too many** parameters to tune
- Sometimes very **complex** and may be the cause of **over fitting**

Deep Learning based Feature



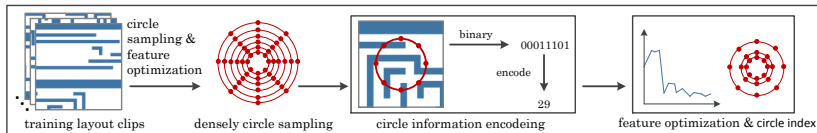
- Network structure from [Matsunawa+, SPIE'16]
- Pros: automatic layout feature extraction; easy to adapt
- Cons: expensive cost in training (may cause even several hours)

Rethinking MCMI



- Maximal Circular Mutual Information (MCMI) [Zhang+, ICCAD'16];
- Preserve the effects of light propagation;
- Searching for the local correlations within each circle.

Rethinking MCMI



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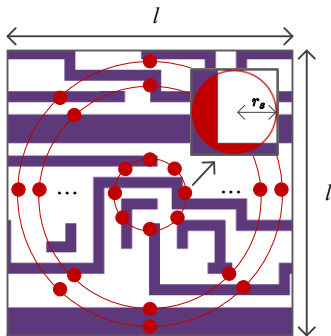
Questions:

Can we utilize the global correlations among these sampled circles?

Two follow up questions:

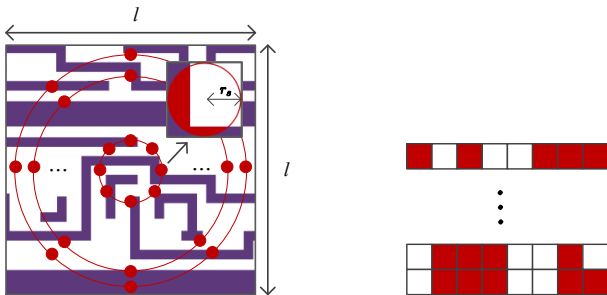
1. Can we preserve these correlations using our feature?
2. Can we capture these correlations using our machine learning model?

Matrix based Concentric Circle Sampling (MCCS)



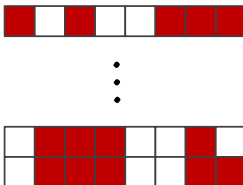
- r_s : is the radius of the sampling area;
- r_{in} : controls the sampling density;
- l : controls the clip size;
- n_p : is the number of points sampled on a circle.

Matrix based Concentric Circle Sampling (MCCS)



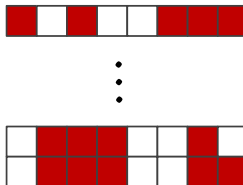
- Points from one circle form a vector;
- Each vector forms one row of the feature matrix;
- Under the condition that $l = 1200nm$, $r_{in} = 60nm$, $n_p = 16$, the dimension of the feature matrix is 33×16 ($33 = 6 + 27$).

Matrix based Concentric Circle Sampling (MCCS)



- Preserve the **hidden** structural information;
- Each circle forms a row: **light propagation**;
- There exist **linear combinations** among these rows and columns: **light diffraction and interference**.
- Linear combinations of the **rows**: correlations among **circles**;
- Linear combinations of the **columns**: correlations among lines of **points**.

Matrix based Concentric Circle Sampling (MCCS)



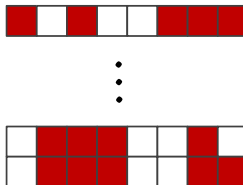
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Can we utilize the global correlations among these sampled circles?

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Matrix based Concentric Circle Sampling (MCCS)



Questions:

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Notations

- scalar: x
- vector: \mathbf{x}
- matrix: \mathbf{X}
- rank r : $\mathbf{X} \in \mathbb{R}^{p \times q}$ and $r \leq \min(p, q)$
- nuclear norm: $\|\mathbf{X}\|_* = \sum_{i=1}^n \sigma_i$
- weighted nuclear norm:
 $\|\mathbf{X}\|_{\mathcal{W},*} = \sum_i^n w_i \sigma_i$

- (i, j) =entity: $\mathbf{X}_{i,j}$
- trace: $\text{tr}(\cdot)$
- $(a)_+ = \max(0, a)$
- $\langle A, B \rangle = \sum_{i,j} A_{i,j} \cdot B_{i,j}$
- Frobenius norm:
 $\|\mathbf{X}\|_F = \sqrt{\sum_{i,j} X_{i,j}^2}$
- Spectral Elastic Net:
 $\frac{1}{2} \text{tr}(\mathbf{W}^\top \mathbf{W}) + \lambda \|\mathbf{W}\|_*$

Background

- Modern techniques are producing datasets with complex hidden structures;
- These features can be naturally represented as matrices instead of vectors.
- Eg. 1: the two-dimensional digital images, with quantized values of different colors at certain rows and columns of pixels;
- Eg. 2: electroencephalography (EEG) data with voltage fluctuations at multiple channels over a period of time.

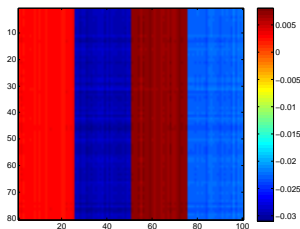
Background

- Most existing learning models are **vector** based;
- People propose **bilinear classifiers** that can tackle data in matrix form:
[Wolf+, CVPR'07][Pirsiavash+, NIPS'09][Luo+, ICML'15];
- However, these methods have their own **drawbacks**.

Drawbacks I

- [Wolf+,CVPR'07] uses the sum of k rank-one orthogonal matrices to model the classifier matrix;
- [Pirsiavash+,NIPS'09] assumes the rank of the classifier matrix to be k ;
- Both methods describe the correlations of data in different ways, but they require the rank k to be pre-specified.

Drawbacks II



- [Luo+,ICML'15] could determine the rank automatically, however:
- when using the nuclear norm, it assigns **same weights** to all singular values;
- it aims at capturing **the grouping effects** (No such effects in our problem) by spectral elastic net term.

Needs for our New Model

- There are several issues for our hotspot detection problem.
- Can we address them?

Needs for our New Model

1. Reduce the impact of outliers;
2. The grouping effects should be discarded;
3. The rank k should be automatically determined;
4. Less weights should be assigned to larger singular values.



Objective Function of our Model

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Final Objective Function

$$\arg \min_{\mathbf{W}, b} \lambda \|\mathbf{W}\|_{\mathcal{W},*} + C \sum_i^n \{1 - y_i [\text{tr}(\mathbf{W}^T \mathbf{x}_i) + b]\}_+. \quad (1)$$

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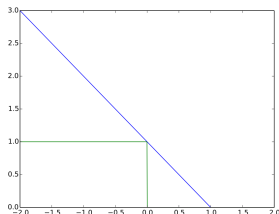
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Final Objective Function

$$\arg \min_{\mathbf{W}, b} \frac{1}{2} \text{tr}(\mathbf{W}^T \mathbf{W}) + \lambda \|\mathbf{W}\|_{\mathcal{W},*} + C \sum_i^n \{1 - y_i [\text{tr}(\mathbf{W}^T \mathbf{x}_i) + b]\}_+.$$

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Two follow up questions:

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Resolve Issues

- Hinge loss: non-smooth;
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- Hinge loss: non-smooth;
- Weighted nuclear norm: non-smooth, maybe non-convex[Gu+,IJCV'16], which depends on the weight order;
- We resort to Alternating Direction Method of Multipliers (ADMM) [Boyd+,FTML'11][Goldstein+,SIAM'14].

Resolve Issues

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Equivalent Objective Function With Auxiliary Variable \mathbf{S}

$$\arg \min_{\mathbf{W}, \mathbf{b}, \mathbf{S}} \lambda \|\mathbf{S}\|_{\mathcal{W},*} + C \sum_i^n \{1 - y_i [\text{tr}(\mathbf{W}^\top \mathbf{x}_i) + b]\}_+, \quad (3)$$

$$\text{s.t. } \mathbf{S} - \mathbf{W} = 0,$$

Resolve Issues

Equivalent Objective Function With Auxiliary Variable \mathbf{S}

$$\begin{aligned} \arg \min_{\mathbf{W}, b, \mathbf{S}} \quad & \lambda \|\mathbf{S}\|_{\mathcal{W},*} + C \sum_i^n \{1 - y_i [\text{tr}(\mathbf{W}^\top \mathbf{X}_i) + b]\}_+, \\ \text{s.t.} \quad & \mathbf{S} - \mathbf{W} = 0, \end{aligned} \quad (4)$$

- In this way, the original optimization problem is split into two sub-problems with respect to $\{\mathbf{W}, b\}$ and the auxiliary variable \mathbf{S} .

Resolve Issues

- Then we apply Augmented Lagrangian Multiplier to develop an efficient ADMM method as follows:

ADMM Formulation

$$L(\mathbf{W}, b, \mathbf{S}, \boldsymbol{\Lambda}) = \lambda \|\mathbf{S}\|_{\mathcal{W},*} + C \sum_i^n \{1 - y_i [\text{tr}(\mathbf{W}^\top \mathbf{X}_i) + b]\}_+ \\ + \text{tr}[\boldsymbol{\Lambda}^\top (\mathbf{S} - \mathbf{W})] + \frac{\rho}{2} \|\mathbf{S} - \mathbf{W}\|_F^2, \quad (5)$$



Subproblems

Subproblem 1 to Solve \mathbf{S}

$$\arg \min_{\mathbf{S}} \quad \lambda \|\mathbf{S}\|_{\mathcal{W},*} + \text{tr}(\mathbf{\Lambda}^{\top} \mathbf{S}) + \frac{\rho}{2} \|\mathbf{W} - \mathbf{S}\|_F^2. \quad (6)$$

Subproblems

Subproblem 1 to Solve \mathbf{S}

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- We use the shrinkage thresholding method to solve this subproblem.

Subproblems

Subproblem 2 to Solve (\mathbf{W}, b)

$$\arg \min_{\mathbf{W}, b} C \sum_i^n \{1 - y_i [\text{tr}(\mathbf{W}^\top \mathbf{x}_i) + b]\}_+ + \text{tr}[\mathbf{\Lambda}^\top (\mathbf{S} - \mathbf{W})] + \frac{\rho}{2} \|\mathbf{S} - \mathbf{W}\|_F^2, \quad (7)$$

- We use the KKT conditions and then the box constraint quadratic programming method to solve this subproblems.

Theoretical Analysis

- We analyze the **excessive risk** of the proposed classifier theoretically;
- We prove the **consistency** and **correctness** of our model;
- Excess risk means the difference between the **empirical risk** and the **expected risk** (Definitions in the next slide).

Lemma 1

Lemma 1

The dual norm of the weighted nuclear norm $\|\mathbf{W}\|_{\mathcal{W},*}$ is

$$\|\mathbf{W}\|_{\mathcal{W},*}^* = \max_i \frac{1}{w_i} \Sigma_{ii} \quad (8)$$

where $\mathbf{W} = \mathbf{U}\mathbf{\Sigma}\mathbf{V}^\top$ through SVD.

* please read the paper for more details of the proof

Theorem 1

With Lemma 1, we can come up with the excessive risk bound for our model:

Theorem 1

With probability at least $1 - \delta$, the excess risk of our method, for each data $\mathbf{X}_i \in \mathbb{R}^{d_1 \times d_2}$, is bounded as

$$R(\hat{\mathbf{W}}) - R(\mathbf{W}^o) \leq \frac{2BL}{\sqrt{n}} \max_i \left(\frac{1}{w_i} \right) \cdot (\sqrt{d_1} + \sqrt{d_2}) + \sqrt{\frac{\ln(1/\delta)}{2n}}. \quad (9)$$

* please read the paper for more details of the proof



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Experimental Results

- Verified in ICCAD-2012 contest benchmark;
- 2x speed-up in M-CPU(s);
- 19x speed-up in CPU(s);
- Increase detection accuracy from 95.13% to 98.16%.

Table 1: Comparisons with three classical methods

	VCCS-SVM			VCCS-Adaboost			DBF-Adaboost			Ours			
	M-CPU(s)	Accuracy	FA#	M-CPU(s)	Accuracy	FA#	CPU(s)	Accuracy	FA#	CPU(s)	M-CPU(s)	Accuracy	FA#
Case 1	1.09	100.00%	0	1.37	99.55%	1	7.00	100%	0	2.09	0.20	100.00%	0
Case 2	1.81	94.78%	4	5.44	96.78%	0	351.00	98.60%	0	10.70	0.33	99.40%	0
Case 3	3.26	95.52%	94	4.73	97.62%	4	297.00	97.20%	0	20.56	2.34	97.78%	2
Case 4	1.74	80.23%	31	9.45	84.10%	0	170.00	87.01%	1	8.09	0.38	96.05%	0
Case 5	1.30	95.12%	0	2.27	97.56%	0	69.00	92.86%	0	5.84	0.49	97.56%	0
avg.	1.84	93.13%	25.8	4.65	95.12%	1.00	178.80	95.13%	0.20	9.45	0.75	98.16%	0.40
ratio	2.46	-	-	6.21	-	-	18.92	-	-	1.0	1.0	-	-

Experimental Results

- 4x speed-up in CPU(s);
- Increase the accuracy to 98.16%;
- Reduce the false alarms by around 15%.

Table 2: Comparisons with three state-of-the-art hotspot detectors

	TCAD'14			TCAD'15			ICCAD'16			Ours		
	CPU(s)	Accuracy	FA#	CPU(s)	Accuracy	FA#	CPU(s)	Accuracy	FA#	CPU(s)	Accuracy	FA#
Case 1	11	100.00%	1714	38	94.69%	1493	10	100.00%	788	4	100.00%	783
Case 2	287	99.80%	4058	234	98.20%	11834	103	99.40%	544	17	99.40%	700
Case 3	417	93.80%	9486	778	91.88%	13850	110	97.51%	2052	49	97.78%	2166
Case 4	102	91.00%	1120	356	85.94%	3664	69	97.74%	3341	14	96.05%	2132
Case 5	49	87.80%	199	20	92.86%	1205	41	95.12%	94	9	97.56%	52
avg.	173.2	94.48%	3315.4	285.2	92.71%	6409.2	66.6	97.95%	1363.8	18.4	98.16%	1166.6
ratio	9.40	-	2.84	15.50	-	5.49	3.62	-	1.17	1.0	-	1.0

Conclusions

Novel Insights in Hotspot Detection Problem

- Novel matrix feature with hidden structural information preserved;
- Novel Bilinear Machine Learning Model;
- Theoretical analysis proves the correctness and consistency of the model.

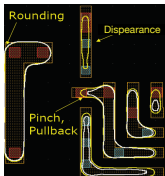
Future Work

- Customized computing system for further speedup
- Transfer learning for further performance improvement

Conclusions

Future Work

- Adjust our methods to new layout designs
- Extend our method to OPC and MPL



We are looking forward to [collaboration](#):

- Industrial benchmarks for [HSD](#)
- Industrial benchmarks for [OPC](#), [MPL](#)

Thank you

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